

TRANSMITTAL FORM



Application Serial Number	10/714,165
Filing Date	November 14, 2003
First Named Inventor	Gillies
Group Art Unit	1641
Examiner Name	Not yet assigned
Attorney Docket No.	LEX-004C1
Patent No.	Not applicable
Issue Date	Not applicable


ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Check Attached <input type="checkbox"/> Copy of Fee Transmittal Form <input type="checkbox"/> Amendment/Response <input type="checkbox"/> Preliminary <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets ____] <input type="checkbox"/> Petition for Extension of Time <input checked="" type="checkbox"/> Information Disclosure Statement (2 pages) <input checked="" type="checkbox"/> Form PTO-1449 (22 pages) <input checked="" type="checkbox"/> Copies of IDS Citations (B1-B106 and C1-238) <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Sequence Listing submission <input type="checkbox"/> Paper Copy/CD <input type="checkbox"/> Computer Readable Copy <input type="checkbox"/> Statement verifying identity of above	<input type="checkbox"/> Copy of Notice to File Missing Parts of Application <input type="checkbox"/> Formal Drawing(s) <input type="checkbox"/> Request For Continued Examination (RCE) Transmittal <input type="checkbox"/> Power of Attorney (Revocation of Prior Powers) <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> CD(s) for large table or computer program <input type="checkbox"/> Amendment After Allowance <input type="checkbox"/> Request for Certificate of Correction <input type="checkbox"/> Certificate of Correction (in duplicate)	<input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences <input type="checkbox"/> Appeal Brief (in triplicate) <input type="checkbox"/> Status Inquiry <input checked="" type="checkbox"/> Return Receipt Postcard <input type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8 <input type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8 <input type="checkbox"/> Additional Enclosure(s) (please identify below)
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CORRESPONDENCE ADDRESS

Direct all correspondence to: Patent Administrator
 Testa, Hurwitz & Thibault, LLP
 High Street Tower
 125 High Street
 Boston, MA 02110
 Tel. No.: (617) 248-7000
 Fax No.: (617) 248-7100

SIGNATURE BLOCK

Respectfully submitted,

 Brian A. Fairchild
 Attorney for the Applicants
 Testa, Hurwitz & Thibault, LLP
 High Street Tower
 125 High Street
 Boston, MA 02110

 Date: April 6, 2004
 Reg. No. 48,645
 Tel. No.: (617) 248-7697
 Fax No.: (617) 248-7100



PATENT
Attorney Docket No. LEX-004C1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Gillies
SERIAL NO.: 10/714,165 GROUP NO.: 1641
FILING DATE: November 14, 2003 EXAMINER: Not yet assigned
TITLE: IL-15 IMMUNOCONJUGATES AND USED THEREOF

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicants hereby make of record the patents and publications listed on the accompanying Form PTO-1449, and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. In accordance with the U.S. Patent and Trademark Office's partial waiver of the requirement under 37 CFR 1.98(a)(2)(i), only copies of the foreign patent documents and non-patent publications are enclosed.

REMARKS

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed (CHECK ONE):

- ☒ (1) within three (3) months of the **filing date** of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the **date of entry of the national stage** as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the **first Office action** on the merits, or before the mailing of a **first Office action** after the filing of a request for continued examination under 37 C.F.R. 1.114; or
- ☐ (2) after the period defined in (1) but before the mailing date of a **final action** or a **notice of allowance** under 37 C.F.R. 1.311, and
- ☐ the requisite Statement is below, **OR**
- ☐ the requisite fee under 37 C.F.R. 1.17(p), namely **\$180.00**, is included herein, or


- ☐ (3) after the mailing date of a **final action** or **notice of allowance** but before the payment of the **issue fee**, **AND**
- ☐ the requisite Statement is below, **AND**
- ☐ the requisite petition fee under 37 C.F.R. 1.17(p), namely **\$180.00** is included herein.

It is respectfully requested that each of the patents and publications listed on the attached Form PTO-1449, and other information contained herein, be made of record in this application.

Applicants believe no fee is required by this submission. If a fee is required, please debit deposit account 20-0531.

Date: April 6, 2004
Reg. No. 48,645
Tel. No.: (617) 248-7697
Fax No.: (617) 248-7100

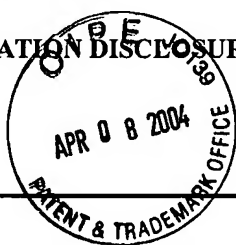
Respectfully submitted,



Brian A. Fairchild
Attorney for Applicants
Testa, Hurwitz, & Thibeault, LLP
High Street Tower
125 High Street
Boston, Massachusetts 02110

FORM PTO - 1449

INFORMATION DISCLOSURE STATEMENT



ATTORNEY DOCKET NO.: LEX-004C1

APPLICANT(S): Gillies

SERIAL NO.: 10/714,165

CONF. NO.: 2258

FILING DATE: November 14, 2003

GROUP: 1641

U.S. PATENT DOCUMENTS

EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	A1	07/348,237	5/5/89	Rosenblum et al.			
	A2	4,196,265	4/1/80	Koprowski et al.			
	A3	4,469,797	9/4/84	Albarella			
	A4	4,676,980	6/30/87	Segal et al.			
	A5	4,703,008	10/27/87	Lin			
	A6	4,816,567	3/28/89	Cabilly et al.			
	A7	4,946,778	8/7/90	Ladner et al.			
	A8	5,019,368	5/28/91	Epstein et al.			
	A9	5,073,627	12/17/91	Curtis et al.			
	A10	5,114,711	5/19/92	Bell et al.			
	A11	5,116,964	5/26/92	Capon et al.			
	A12	5,199,942	4/6/93	Gillis			
	A13	5,225,538	7/6/93	Capon et al.			
	A14	5,225,539	7/6/93	Winter			
	A15	5,258,498	11/2/93	Huston et al..			
	A16	5,314,995	5/24/94	Fell, Jr. et al.			
	A17	5,349,053	9/20/94	Landolfi			
	A18	5,359,035	10/25/94	Habermann			
	A19	5,441,868	8/15/95	Lin			
	A20	5,457,038	10/10/95	Trinchieri et al.			
	A21	5,514,582	5/7/96	Capon et al.			
	A22	5,538,866	7/23/96	Israeli et al.			
	A23	5,541,087	7/30/96	Lo et al.			
	A24	5,543,297	8/6/96	Cromlish, et al.			
	A25	5,547,933	8/20/96	Lin			
	A26	5,552,524	09/03/96	Basinski et al.			
	A27	5,585,089	12/17/96	Queen et al.			
	A28	5,609,846	3/11/97	Goldenberg			
EXAMINER				DATE CONSIDERED			

FORM PTO - 1449				ATTORNEY DOCKET NO.: LEX-004C1			
INFORMATION DISCLOSURE STATEMENT				APPLICANT(S): Gillies			
				SERIAL NO.: 10/714,165		CONF. NO.: 2258	
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U.S. PATENT DOCUMENTS							
EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	A29	5,618,698	4/8/97	Lin			
	A30	5,624,821	4/29/97	Winter et al.			
	A31	5,639,725	6/17/97	O'Reilly et al.			
	A32	5,645,835	7/8/97	Fell, Jr. et al.			
	A33	5,650,150	7/22/97	Gillies			
	A34	5,650,492	7/22/97	Gately et al.			
	A35	5,667,776	9/16/97	Zimmerman et al.			
	A36	5,679,543	10/21/97	Lawlis			
	A37	5,688,679	11/18/97	Powell			
	A38	5,691,309	11/25/97	Basinski et al.			
	A39	5,709,859	1/20/98	Aruffo et al.			
	A40	5,719,266	02/17/98	DiMarchi et al.			
	A41	5,723,125	3/3/98	Chang et al.			
	A42	5,726,044	3/10/98	Lo et al.			
	A43	5,728,552	3/17/98	Fujisawa et al.			
	A44	5,733,876	3/31/98	O'Reilly et al.			
	A45	5,756,349	5/26/98	Lin			
	A46	5,756,461	05/26/98	Stephens			
	A47	5,759,551	6/2/98	Ladd et al.			
	A48	5,770,195	6/23/98	Hudziak et al.			
	A49	5,800,810	9/1/98	Doyle et al.			
	A50	5,807,715	9/15/98	Morrison et al.			
	A51	5,827,516	10/27/98	Urban et al.			
	A52	5,837,682	11/17/98	Folkman et al.			
	A53	5,843,423	12/1/98	Lyman et al.			
	A54	5,854,205	12/29/98	O'Reilly et al.			
	A55	5,856,298	1/5/99	Strickland			
	A56	5,858,347	1/12/99	Bauer et al.			
EXAMINER				DATE CONSIDERED			

FORM PTO - 1449**INFORMATION DISCLOSURE STATEMENT**

ATTORNEY DOCKET NO.: LEX-004C1

APPLICANT(S): Gillies

SERIAL NO.: 10/714,165

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GROUP: 1641

U.S. PATENT DOCUMENTS

EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	A57	5,885,795	3/23/99	O'Reilly et al.			
	A58	5,886,178	3/23/99	Allen et al.			
	A59	5,888,772	3/30/99	Okasinski et al.			
	A60	5,908,626	6/1/99	Chang et al.			
	A61	5,922,685	7/13/99	Rakhmilevich et al.			
	A62	5,955,422	9/21/99	Lin			
	A63	5,994,126	11/30/99	Steinman et al.			
	A64	6,080,409	6/27/00	Laus et al.			
	A65	6,086,875	7/11/00	Blumberg et al.			
	A66	6,100,387	8/8/00	Herrmann et al.			
	A67	6,169,070	1/2/01	Chen et al.			
	A68	6,171,588	1/9/01	Carron et al.			
	A69	6,231,536	5/15/01	Lentz			
	A70	6,277,375	8/21/01	Ward			
	A71	6,284,536	9/4/01	Morrison et al.			
	A72	6,335,176	1/1/02	Inglese et al.			
	A73	6,340,742	1/22/02	Burg et al.			
	A74	6,348,192	2/19/02	Chan et al.			
	A75	6,406,689	6/18/02	Falkenberg et al.			
	A76	6,444,792	9/3/02	Gray et al.			
	A77	6,475,717	11/5/02	Enssle et al.			
	A78	6,485,726	11/26/02	Blumberg et al.			
	A79	6,506,405	1/14/03	Desai et al.			
	A80	6,583,272	6/24/03	Bailon			
	A81	6,586,398	7/1/03	Kinstler et al.			
	A82	6,617,135	9/9/03	Gillies et al.			
	A83	2001/0053539	12/20/01	Lauffer et al.			
	A84	2002/0081664	6/27/02	Lo et al.			
EXAMINER				DATE CONSIDERED			

FORM PTO - 1449				ATTORNEY DOCKET NO.: LEX-004C1			
INFORMATION DISCLOSURE STATEMENT				APPLICANT(S): Gillies			
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U.S. PATENT DOCUMENTS							
EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	A85	2002/0142374	10/3/02	Gallo et al.			
	A86	2002/0147311	10/10/02	Gillies et al.			
	A87	2002/0192222	12/19/02	Blumberg et al.			
	A88	2002/0193570	12/19/02	Gillies et al.			
	A89	2003/0003529	1/2/03	Bayer			
	A90	2003/0044423	3/6/03	Gillies et al.			
	A91	2003/0049227	3/13/03	Gillies et al.			
	A92	2003/0105294	6/5/03	Gillies et al.			
	A93	2003/0012789	1/6/03	Blumberg et al.			
	A94	2003/0157054	8/21/03	Gillies et al.			
	A95	2003/0166163	9/4/03	Gillies			
	A96	2003/0166877	9/4/03	Gillies et al.			
EXAMINER				DATE CONSIDERED			

FORM PTO – 1449					ATTORNEY DOCKET NO.: LEX-004C1				
INFORMATION DISCLOSURE STATEMENT					APPLICANT(S): Gillies				
					SERIAL NO.: 10/714,165		CONF. NO.: 2258		
					FILING DATE: November 14, 2003		GROUP: 1641		
FOREIGN PATENT DOCUMENTS									
EXAM INIT.		DOCUMENT NUMBER	DATE	COUNTRY CODE	CLASS	SUB CLASS	FILING DATE	ABSTRACT ONLY	ENGLISH LANG (Y/N)
	B1	0 158 198 A1	10/16/85	EP					Y
	B2	0 211 769 A2	2/25/87	EP					Y
	B3	0 256 714 A2	2/24/88	EP					Y
	B4	0 294 703 A2	12/14/88	EP					Y
	B5	0 308 936 B1	3/29/89	EP					Y
	B6	0 314 317 B1	5/3/89	EP					Y
	B7	0 318 554 B1	6/7/89	EP					Y
	B8	0 319 012 A2	6/7/89	EP					Y
	B9	0 326 120 B1	8/2/89	EP					Y
	B10	0 350 230 A2	1/10/90	EP					Y
	B11	0 375 562 B1	6/27/90	EP					Y
	B12	0 396 387 A2	11/7/90	EP					Y
	B13	0 439 095 A2	7/31/91	EP					Y
	B14	0 511 747 A1	11/4/92	EP					Y
	B15	0 601 043 B1	6/15/94	EP					Y
	B16	0 640 619 A1	3/1/95	EP					Y
	B17	0 668 353 A1	8/23/95	EP					Y
	B18	0 706 799 A2	4/17/96	EP					Y
	B19	0 790 309 A1	8/20/97	EP					Y
	B20	1 088 888 A1	4/4/01	EP					Y
	B21	21725/88	3/23/89	AU					Y
	B22	93100115.3	7/14/93	CN					N
	B23	93100115.3	7/14/93	CN				Y	Y
	B24	37 12985 A1	11/2/88	DE					N
	B25	37 12985	11/2/88	DE				Y	Y
	B26	2 292 382 A	2/21/96	GB					Y
	B27	63-267278	11/4/88	JP					N
EXAMINER					DATE CONSIDERED				

FORM PTO – 1449

INFORMATION DISCLOSURE STATEMENT

ATTORNEY DOCKET NO.: LEX-004C1

APPLICANT(S): Gillies

SERIAL NO.: 10/714,165

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FOREIGN PATENT DOCUMENTS

EXAM INIT.		DOCUMENT NUMBER	DATE	COUNTRY CODE	CLASS	SUB CLASS	FILING DATE	ABSTRACT ONLY	ENGLISH LANG (Y/N)
	B28	63-267278	11/4/88	JP				Y	Y
	B29	63-267296	11/4/88	JP					N
	B30	63-267296	11/4/88	JP				Y	Y
	B31	0 237 019 A2	9/16/87	EP				English counterpart of JP 63- 267296	Y
	B32	WO 86/01533	3/13/86	PCT					Y
	B33	WO 88/00052	1/14/88	PCT					Y
	B34	WO 88/09344	12/1/88	PCT					Y
	B35	WO 89/02922	4/6/89	PCT					Y
	B36	WO 89/09620	10/19/89	PCT				Abstract in English	N
	B37	WO 90/03801	4/19/90	PCT					Y
	B38	WO 91/00360	1/10/91	PCT					Y
	B39	WO 91/04329	04/04/91	PCT					Y
	B40	WO 91/08298	6/13/91	PCT					Y
	B41	WO 91/13166	9/5/91	PCT					Y
	B42	WO 91/14438	10/3/91	PCT					Y
	B43	WO 92/02240	2/20/92	PCT					Y
	B44	WO 92/08495	5/29/92	PCT					Y
	B45	WO 92/08801	5/29/92	PCT					Y
	B46	WO 92/16562	10/1/92	PCT					Y
	B47	WO 93/03157	2/18/93	PCT					Y
	B48	WO 93/10229	5/27/93	PCT					Y
	B49	WO 94/24160	10/27/94	PCT					Y
	B50	WO 94/25055	11/10/94	PCT					Y
	B51	WO 95/05468	2/23/95	PCT					Y
	B52	WO 95/21258	8/10/95	PCT					Y
	B53	WO 95/28427	10/26/95	PCT					Y
EXAMINER					DATE CONSIDERED				

FORM PTO – 1449					ATTORNEY DOCKET NO.: LEX-004C1				
INFORMATION DISCLOSURE STATEMENT					APPLICANT(S): Gillies				
					SERIAL NO.: 10/714,165		CONF. NO.: 2258		
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FOREIGN PATENT DOCUMENTS									
EXAM INIT.		DOCUMENT NUMBER	DATE	COUNTRY CODE	CLASS	SUB CLASS	FILING DATE	ABSTRACT ONLY	ENGLISH LANG (Y/N)
	B54	WO 95/31483	11/23/95	PCT					Y
	B55	WO 96/04388	02/15/96	PCT					Y
	B56	WO 96/05309	2/22/96	PCT					Y
	B57	WO 96/08570	3/21/96	PCT					Y
	B58	WO 96/18412	6/20/96	PCT					Y
	B59	WO 96/31526	10/10/96	PCT					Y
	B60	WO 97/00317	1/3/97	PCT					Y
	B61	WO 97/00319	1/3/97	PCT					Y
	B62	WO 97/15666	5/1/97	PCT					Y
	B63	WO 97/20062	6/5/97	PCT					Y
	B64	WO 97/24137	7/10/97	PCT					Y
	B65	WO 97/24440	7/10/97	PCT					Y
	B66	WO 97/26335	7/24/97	PCT					Y
	B67	WO 97/30089	8/21/97	PCT					Y
	B68	WO 97/33617	9/18/97	PCT					Y
	B69	WO 97/33619	9/18/97	PCT					Y
	B70	WO 97/34631	9/25/97	PCT					Y
	B71	WO 97/43316	11/20/97	PCT					Y
	B72	WO 98/00127	1/8/98	PCT					Y
	B73	WO 98/06752	2/19/98	PCT					Y
	B74	WO 98/28427	7/2/98	PCT					Y
	B75	WO 98/30706	7/16/98	PCT					Y
	B76	WO 98/46257	10/22/98	PCT					Y
	B77	WO 98/59244	12/30/98	PCT					Y
	B78	WO 99/02709	01/21/99	PCT					Y
	B79	WO 99/03887	01/28/99	PCT					Y
	B80	WO 99/29732	6/17/99	PCT					Y
EXAMINER					DATE CONSIDERED				

FORM PTO – 1449**INFORMATION DISCLOSURE STATEMENT**

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FOREIGN PATENT DOCUMENTS

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	B81	WO 99/43713	9/2/99	PCT					Y
	B82	WO 99/52562	10/21/99	PCT					Y
	B83	WO 99/53958	10/28/99	PCT					Y
	B84	WO 99/60128	11/25/99	PCT					Y
	B85	WO 99/62944	12/09/99	PCT					Y
	B86	WO 99/66054	12/23/99	PCT					Y
	B87	WO 00/11033	3/2/00	PCT					Y
	B88	WO 00/24893	5/4/00	PCT					
	B89	WO 00/34317	06/15/00	PCT					Y
	B90	WO 00/40615	7/13/00	PCT					Y
	B91	WO 00/68376	11/16/00	PCT					Y
	B92	WO 00/69913	11/23/00	PCT					Y
	B93	WO 00/78334	12/28/00	PCT					Y
	B94	WO 01/07081	2/1/01	PCT					Y
	B95	WO 01/10912	2/15/01	PCT					Y
	B96	WO 01/36489	5/25/01	PCT					Y
	B97	WO 01/58957	8/16/01	PCT					
	B98	WO 02/02143	1/10/02	PCT					
	B99	WO 02/066514	8/29/02	PCT					Y
	B100	WO 02/072605	9/19/02	PCT					
	B101	WO 02/079232	10/10/02	PCT					
	B102	WO 02/079415	10/10/02	PCT					
	B103	WO 02/090566	11/14/02	PCT					
	B104	WO 03/015697	2/27/03	PCT					Y
	B105	WO 03/048334	6/12/03	PCT					
	B106	WO 03/077834	9/25/03	PCT					Y
EXAMINER					DATE CONSIDERED				

FORM PTO – 1449 INFORMATION DISCLOSURE STATEMENT		ATTORNEY DOCKET NO.: LEX-004C1 APPLICANT(S): Gillies SERIAL NO.: 10/714,165 CONF. NO.: 2258 FILING DATE: November 14, 2003 GROUP: 1641	
EXAM. INIT.	OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)		
	C1	Abaza et al., (1992), "Effects of Amino Acid Substitutions Outside an Antigenic Site on Protein Binding to Monoclonal Antibodies of Predetermined Specificity Obtained by Peptide Immunization: Demonstration with Region 94-100 (Antigenic Site 3) of Myoglobin," <u>Journal of Protein Chemistry</u> , 11(5):433-444.	
	C2	Abstract XP-002116766, Lupulescu, (1996), "Prostaglandins, Their Inhibitors and Cancer," <u>Prostaglandins, Leukotrienes and Essential Fatty Acids</u> , 54(2):83-94.	
	C3	Afonso et al., (1994), "The Adjuvant Effect of Interleukin-12 in a Vaccine Against Leishmania Major," <u>Science</u> , 263:235-237.	
	C4	Angal et al., (1993), "A Single Amino Acid Substitution Abolishes the Heterogeneity of Chimeric Mouse/Human (IgG4) Antibody," <u>Molecular Immunology</u> , 30(1):105-108.	
	C5	Arenberg et al., (1996), "Interferon- γ -inducible Protein 10 (IP-10) is an Angiostatic Factor that Inhibits Human Non-small Cell Lung Cancer (NSCLC) Tumorigenesis and Spontaneous Metastases," <u>J. Exp. Med.</u> , 184:981-992.	
	C6	Bacha et al., (1988), "Interleukin 2 Receptor-Targeted Cytotoxicity: Interleukin 2 Receptor-mediated Action of a Diphtheria Toxin-related Interleukin 2 Fusion Protein," <u>J. Exp. Med.</u> , 167:612-622.	
	C7	Bachelot et al., (1998), "Retrovirus-Mediated Gene Transfer of an Angiostatin-Endostatin Fusion Protein with Enhanced Anti-Tumor Properties In Vivo," <u>Proceedings of the Annual Meeting of the American Association for Cancer Research</u> , 39:271, Abstract #1856 (XP-002089298).	
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EXAMINER		DATE CONSIDERED	